

MARK ELIN, FIANA JACOBZON and GUY KATRIEL

Linearization of holomorphic semicocycles in Banach spaces

Abstract. We consider holomorphic semicocycles on the open unit ball in a Banach space taking values in a Banach algebra (studied previously in [8, 9]). We establish criteria for a semicocycle to be linearizable, that is, cohomologically equivalent to one independent of the spatial variable.

Keywords. Holomorphic mapping, generated semigroup, Cauchy problem, linearization problem.

Mathematics Subject Classification (2010): Primary 37F99, Secondary 58D25, 46G20.

1 - Introduction

In the theory of autonomous dynamical systems, the basic equivalence relation is conjugacy: two semigroups which are conjugate describe the same dynamics, up to a re-parametrization of the space acted upon. Thus, it is important to classify semigroups up to conjugacy. In particular, when dealing with smooth dynamical systems, one is interested in the question of *linearization*, that is, determining whether a semigroup is conjugate to a linear one. This is motivated by the fact that autonomous linear dynamical systems are the most well-understood type of dynamical systems.

For (semi)cocycles over semigroups an analogous equivalence relation is that of *cohomological equivalence*, and the corresponding class of simplest semicocycles is that of *constant* (that is, independent of the spatial variable) semicocycles. Therefore in this context the problem of ‘linearization’ is that of determining whether a given semicocycle is cohomologous to a constant one.

In this paper we study semicocycles over semigroups of holomorphic self-mappings of a domain in a complex Banach space (the books [11, 19] and references therein can be used as good sources for the state of the art on semigroups of

holomorphic mappings). While, under an assumption of uniform joint continuity (see [9]) such semicycles can be defined as solutions of certain nonautonomous differential equations which cannot be explicitly solved, if a semicycle is cohomolgous to a constant one it has an explicit representation. Our central question is: *Which semicycles are linearizable — that is cohomolgous to constant semicycles?*

In the case where $X = \mathbb{C}$, the question of linearizability was studied in [8], where we obtained a condition which is sharp in a generic sense.

In multi-dimensional settings, the situation is inherently more complicated, as is also the case with respect to the question of conjugacy of semigroups (see, for example, [2, 10, 19]). We obtain some simple sufficient conditions for such a linearization to exist, in terms of the semicycle generator. The methods we use are quite different from those used in [8], which relied on power-series expansions.

The next section is devoted to some preliminary results and to definitions of the objects of our study, while in Section 3 we introduce the linearization problem for semicycles and discuss its equivalence to the linearization of skew-product semiflows. Section 4 contains the main results of the paper and provides some conditions entailing that a semicycle over a semigroup with an interior attractive fixed point is linearizable.

2 - Semigroups and semicycles

In this section we introduce the main objects studied in the paper and present some preliminary results.

Let X and Y be two complex Banach spaces endowed with the norms $\|\cdot\|_X$ and $\|\cdot\|_Y$, respectively. Let $\mathcal{D} \subset X$ and $\Omega \subset Y$ be domains (connected open sets). Recall that a mapping $F : \mathcal{D} \rightarrow \Omega$ is said to be holomorphic if it is Fréchet differentiable at each point $x \in \mathcal{D}$. By $\text{Hol}(\mathcal{D}, \Omega)$ we denote the set of all holomorphic mappings on \mathcal{D} with values in $\Omega \subset Y$. Also we denote $\text{Hol}(\mathcal{D}) := \text{Hol}(\mathcal{D}, \mathcal{D})$, the set of all holomorphic self-mappings of \mathcal{D} .

A bounded subset $\mathcal{D}^* \subset \mathcal{D}$ is said to lie strictly inside \mathcal{D} if it is bounded away from the boundary $\partial\mathcal{D}$, that is, $\inf_{x \in \mathcal{D}^*} \text{dist}(x, \partial\mathcal{D}) > 0$. One of the surprising features of infinite-dimensional holomorphy is that the inclusion $f \in \text{Hol}(\mathcal{D}, Y)$ does not imply that f is bounded on all subsets \mathcal{D}^* strictly inside \mathcal{D} (see [13, 17, 18, 19]).

We proceed with some general notions concerning the continuity of an arbitrary family of mappings $\{f_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, Y)$.

Definition 2.1. *The family $\{f_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, Y)$ is said to be*

- *uniformly jointly continuous (UJC, for short) if for every point $(t_0, x_0) \in [0, \infty) \times \mathcal{D}$ there exists a neighborhood U of x_0 such that $f_t(x) \rightarrow f_{t_0}(x)$ as*

$t \rightarrow t_0$, uniformly on U .

- locally uniformly continuous (*T-continuous, for short*) if for every $t_0 \geq 0$ and for every subset \mathcal{D}^* strictly inside \mathcal{D} , we have $f_t(x) \rightarrow f_{t_0}(x)$ as $t \rightarrow t_0$, uniformly on \mathcal{D}^* .

As we will see below, these notions are closely connected with the differentiability of a family with respect to the parameter t .

A central notion in the theory of dynamical systems is that of one-parameter semigroups of mappings.

Definition 2.2. A family $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ is called a one-parameter continuous semigroup (semigroup, for short) on \mathcal{D} if the following properties hold

- (i) $F_{t+s} = F_t \circ F_s$ for all $t, s \geq 0$;
- (ii) for all $x \in \mathcal{D}$, $\lim_{t \rightarrow 0^+} F_t(x) = x$.

Note that, the algebraic structure of semigroups implies that if $F_t(x) \rightarrow x$ as $t \rightarrow 0^+$ uniformly on a neighborhood of every point in \mathcal{D} (respectively, uniformly on every subset strictly inside \mathcal{D}), then it is UJC (respectively, *T-continuous*). One of the deep questions in semigroup theory is whether all semigroups are differentiable with respect to the parameter t . In general, the answer is negative. Reich and Shoikhet (see [19, Theorems 6.8–6.9]) proved the following criterion for differentiability.

Theorem 2.1. Let $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ be a semigroup on a bounded domain in a complex Banach space X . Then \mathcal{F} is *T-continuous* if and only if for each $x \in \mathcal{D}$ the limit

$$(2.1) \quad f(x) = \lim_{t \rightarrow 0^+} \frac{1}{t} [F_t(x) - x]$$

exists, uniformly on subsets strictly inside \mathcal{D} , and then $f \in \text{Hol}(\mathcal{D}, X)$ is bounded on each subset strictly inside \mathcal{D} .

The mapping f defined by (2.1) is called the (*infinitesimal*) generator of the semigroup \mathcal{F} . In this case the semigroup \mathcal{F} can be reproduced as the unique solution of the Cauchy problem

$$(2.2) \quad \begin{cases} \frac{\partial u(t, x)}{\partial t} = f(u(t, x)) \\ u(0, x) = x, \end{cases}$$

where we set $u(t, x) = F_t(x)$ (see, for example, [11]).

Definition 2.3. Let $\mathcal{F} = \{F_t(x)\}_{t \geq 0}$ be a semigroup on a domain \mathcal{D} . We say that \mathcal{F} acts strictly inside \mathcal{D} if for every subset \mathcal{D}^* strictly inside \mathcal{D} and every $t_0 > 0$ the set $\{F_t(x) : x \in \mathcal{D}^*, t \in [0, t_0]\}$ lies strictly inside \mathcal{D} .

In the case where X is finite-dimensional, each semigroup acts strictly inside by compactness, while in infinite-dimensional settings this property should be verified. The next assertion presents a simple sufficient condition for a semigroup to act strictly inside its domain.

Lemma 2.1. Let \mathcal{D} be a bounded convex domain in a complex Banach space. Then each semigroup $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ acts strictly inside \mathcal{D} .

Proof. Since \mathcal{D} is a bounded convex domain, there exists a hyperbolic metric ρ on \mathcal{D} . For each $t \geq 0$, the semigroup element F_t is ρ -nonexpansive in the sense that $\rho(F_t(x), F_t(y)) \leq \rho(x, y)$ for all $x, y \in \mathcal{D}$.

Take now any subset \mathcal{D}^* which lies strictly inside \mathcal{D} . Clearly \mathcal{D}^* is contained in a ρ -ball centered at x_0 ; denote the radius of this ball by r . Then for every $x \in \mathcal{D}^*$ and $t \in [0, t_0]$,

$$\begin{aligned} \rho(x_0, F_t(x)) &\leq \rho(x_0, F_t(x_0)) + \rho(F_t(x_0), F_t(x)) \\ &\leq \rho(x_0, F_t(x_0)) + \rho(x_0, x) \leq \rho(x_0, F_t(x_0)) + r. \end{aligned}$$

The first summand in the last sum is finite when $t \leq t_0$. Hence the set $\{F_t(x) : x \in \mathcal{D}^*, t \in [0, t_0]\}$ belongs to a ρ -ball \mathcal{B} centered at x_0 and having a finite radius, so it lies strictly inside \mathcal{D} . \square

Note that the conclusion of Lemma 2.1 is valid under weaker conditions too. Indeed, suppose that ρ is a hyperbolic metric on \mathcal{D} , and a family $\{F_t(x)\}_{t \geq 0}$ is continuous with respect to t and consists of K -Lipschitz self-mappings relative to ρ on a domain \mathcal{D} . Then following the same considerations we see that \mathcal{F} acts strictly inside \mathcal{D} .

A point $x_0 \in \mathcal{D}$ is called a fixed point of a semigroup $\mathcal{F} = \{F_t\}_{t \geq 0}$ if $F_t(x_0) = x_0$ for all $t \geq 0$. It follows from the uniqueness of the solution to the Cauchy problem (2.2) that the common fixed point set of \mathcal{F} coincides with the null point set of its generator f . A fixed point x_0 is said to be (globally) *attractive* if $F_t(x) \rightarrow x_0$ as $t \rightarrow \infty$ for all $x \in \mathcal{D}$. While in the one-dimensional case, for a semigroup of holomorphic self-mappings, which does not consist of automorphisms, the existence of a unique fixed point implies its attractivity, this is no longer true in higher-dimensional spaces, as shown by the simple example: $F_t(x_1, x_2) = (e^{-t}x_1, e^{it}x_2)$. Moreover, in an infinite-dimensional space a semigroup may converge to a unique interior point $x_0 \in \mathcal{D}$ but not uniformly on any neighborhood of x_0 (see, for instance, Example 4.3 below).

The most familiar class of semigroups consists of semigroups of bounded linear or affine operators. In the general situation, the ‘linearization problem’

is the question whether a given semigroup is biholomorphically equivalent to a semigroup of linear/affine mappings. If \mathcal{F} has an interior fixed point $x_0 \in \mathcal{D}$, this problem is equivalent to searching for a biholomorphic mapping $h \in \text{Hol}(\mathcal{D}, X)$, $h(x_0) = 0$, and a linear operator A on X such that

$$(2.3) \quad F_t(x) = h^{-1}(e^{tA}h(x)).$$

Obviously, if \mathcal{F} is generated by a mapping $f \in \text{Hol}(\mathcal{D}, X)$, then $A = f'(x_0)$.

While in the one-dimensional case any semigroup is linearizable (see [12] for a survey of this problem), in the multi-dimensional settings there are non-linearizable semigroups. A criterion for linearizability of a semigroup acting on a domain in the finite-dimensional space \mathbb{C}^n is given in [2]. Moreover, it is shown there that if the operator $A = f'(x_0)$ has no resonances, then the semigroup generated by f is linearizable. Regarding the general infinite-dimensional case, a sufficient condition for linearizability involving first terms of Taylor's series of the semigroup generator is given in [10, Proposition 3.7.5].

The main object of study in this paper is that of *semicocycle*, which plays an important role in the theory of dynamical systems (for the holomorphic one-dimensional case see [15]). Throughout the paper we assume that \mathcal{A} is a complex unital Banach algebra with the unity $1_{\mathcal{A}}$ such that $\|1_{\mathcal{A}}\|_{\mathcal{A}} = 1$.

Definition 2.4. Let $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ be a semigroup. The family $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ is called a (holomorphic) *semicocycle* over \mathcal{F} if it satisfies the following:

- (a) the chain rule: $\Gamma_t(F_s(x))\Gamma_s(x) = \Gamma_{t+s}(x)$ for all $t, s \geq 0$ and $x \in \mathcal{D}$;
- (b) $\lim_{t \rightarrow 0^+} \Gamma_t(x) = 1_{\mathcal{A}}$ for every $x \in \mathcal{D}$.

The simplest example of a semicocycle over \mathcal{F} is given by the Fréchet derivatives of the semigroup: $\Gamma_t(x) = F_t'(x)$. It can be easily seen that if the semigroup \mathcal{F} is generated by a mapping f , this semicocycle satisfies the differential equation $\frac{dv(t, x)}{dt} = f'(F_t(x))v(t, x)$. It turns out that this equation is a very special case of a wide class of nonautonomous dynamical systems solutions of which are semicocycles. More precisely,

Theorem 2.2 (Theorem 4.2 in [9]). Let $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$, and let $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ be a semigroup. The evolution problem

$$(2.4) \quad \begin{cases} \frac{dv(t, x)}{dt} = B(F_t(x))v(t, x) \\ v(0, x) = 1_{\mathcal{A}}. \end{cases}$$

has the unique solution $u(t, x)$, $t \geq 0$, $x \in \mathcal{D}$, and the family $\{\Gamma_t(x) := u(t, x)\}_{t \geq 0}$ is a holomorphic semicocycle over \mathcal{F} .

We call the mapping $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$ the generator of the semicocycle. A natural question is whether semicocycles in general are solutions of dynamical systems. This question is answered by

Theorem 2.3 (Theorems 5.1–5.2 in [9]). *Let \mathcal{D} be a bounded domain. Let $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ be a semicocycle over a T -continuous semigroup $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$. Then, for each $x \in \mathcal{D}$, the function $t \mapsto \Gamma_t(x)$ is differentiable on $[0, \infty)$ if and only if $\{\Gamma_t\}_{t \geq 0}$ is UJC. In this case, defining*

$$(2.5) \quad B(x) = \left. \frac{d}{dt} \Gamma_t(x) \right|_{t=0},$$

we have $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$ and $\Gamma_t(x)$ is the unique solution to the evolution problem (2.4). Moreover, $\{\Gamma_t\}_{t \geq 0}$ is T -continuous if and only if its generator B is bounded on every domain \mathcal{D}^ strictly inside \mathcal{D} .*

We recall a consequence of the above theorem, which will be of use later (cf. [9, Theorems 4.4 and 5.3]).

Theorem 2.4. *Let $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ be a semigroup such that for some $x_0 \in \mathcal{D}$, $F_t(x) \rightarrow x_0$ as $t \rightarrow \infty$, uniformly on subsets strictly inside \mathcal{D} . Let $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ be an UJC semicocycle over \mathcal{F} . Then for every set \mathcal{D}^* strictly inside \mathcal{D} there exist real C and L such that $\|\Gamma_t(x)\|_{\mathcal{A}} \leq Ce^{Lt}$ for all $x \in \mathcal{D}^*$.*

Denote the set of all invertible elements of \mathcal{A} by \mathcal{A}_* . For any given semicocycle $\{\Gamma_t\}_{t \geq 0}$ we can construct a class of other semicocycles as follows.

Proposition 2.1. *Let $M \in \text{Hol}(\mathcal{D}, \mathcal{A}_*)$. Then the family $\{\tilde{\Gamma}_t\}_{t \geq 0}$ defined by*

$$(2.6) \quad \tilde{\Gamma}_t(x) = M(F_t(x))^{-1} \Gamma_t(x) M(x)$$

is a semicocycle over \mathcal{F} .

Two semicocycles $\{\Gamma_t\}_{t \geq 0}$ and $\{\tilde{\Gamma}_t\}_{t \geq 0}$ related as in (2.6) are said to be *co-homologous*. It is easy to see that this is an equivalence relation. Note that a particular case where $\tilde{\Gamma}_t(x) = 1_{\mathcal{A}}$, that is, $\Gamma_t(x) = M(F_t(x))M(x)^{-1}$, is sometimes referred to as a *coboundary* (see, for example, [3, 14]).

3 - Linearization problem

The simplest examples of semicocycles are those independent of x ,

$$(3.1) \quad \{\Gamma_t(x) = e^{tB_0}\}_{t \geq 0} \quad \text{for some } B_0 \in \mathcal{A},$$

so it is natural to ask which semicocycles are cohomologous to (3.1), that is can be represented in the form

$$(3.2) \quad \Gamma_t(x) = M(F_t(x))^{-1} e^{tB_0} M(x).$$

In view of the analogy between the concepts of cohomology of semicocycles and conjugacy of semigroups (compare formulas (3.2) and (2.3)), representing a given semicocycle $\{\Gamma_t\}_{t \geq 0}$ by (3.2) will be called *linearization* of $\{\Gamma_t\}_{t \geq 0}$ and the mapping M will be called a *linearizing mapping* for $\{\Gamma_t\}_{t \geq 0}$. Thus, the linearization problem for semicocycles, which is the focus of this paper, is

- Under what conditions is a given semicocycle linearizable, that is, can be represented in the form (3.2)?

Note that a semicocycle represented by (3.2) is automatically differentiable with respect to t , hence uniformly jointly continuous by Theorem 2.3.

We remark that representation (3.2), if it exists, is not unique. Since M takes invertible values, we can assume without loss of generality (cf. [8]) that the mapping M in (3.2) satisfies the normalization

$$(3.3) \quad M(x_0) = 1_{\mathcal{A}}$$

for an arbitrary chosen $x_0 \in \mathcal{D}$. Denote, as above, $B(x) = \frac{d}{dt}\Gamma_t(x)|_{t=0}$. Assuming (as we will henceforth do) that x_0 is a fixed point of \mathcal{F} , we get that B_0 in (3.2) is uniquely determined by

$$(3.4) \quad B_0 = B(x_0).$$

However, even conditions (3.3)–(3.4) do not guarantee the uniqueness of the linearizing mapping M as the following example shows.

Example 3.1. Let $X = \mathbb{C}$, \mathcal{D} be the open unit disk, $\mathcal{F} = \{e^{-t} \cdot\}_{t \geq 0}$ be the linear semigroup. Denote

$$B_0 = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}, \quad M(x) = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}.$$

By Proposition 2.1, the family $\{\Gamma_t\}_{t \geq 0}$ defined by $\Gamma_t(x) = M(F_t(x))^{-1} e^{tB_0} M(x)$ forms a semicocycle over \mathcal{F} . Direct calculation shows that actually $\Gamma_t(x) = e^{tB_0}$, so that it is linearizable by the constant mapping $1_{\mathcal{A}} \neq M(x)$.

On the other hand, if either the algebra \mathcal{A} is commutative or $B_0 = \lambda \cdot 1_{\mathcal{A}}$, $\lambda \in \mathbb{C}$, then it can be easily seen that the representation (3.2) is unique. Below in Theorem 4.3 we present a more general condition for uniqueness.

It turns out that the T -continuity of the semicocycle represented by formula (3.2) can be characterized in terms of the mapping M . To this end we assume in what follows that a semigroup $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ on a bounded domain \mathcal{D} is T -continuous, hence is differentiable by Theorem 2.1.

Theorem 3.1. *Let \mathcal{D} be a bounded domain, $M \in \text{Hol}(\mathcal{D}, \mathcal{A}_*)$, $B_0 \in \mathcal{A}$ and the family $\{\Gamma_t\}_{t \geq 0}$ be defined by (3.2).*

- (i) *If both M and M^{-1} are bounded on each subset strictly inside \mathcal{D} , then $\{\Gamma_t\}_{t \geq 0}$ is a T -continuous semicycle over \mathcal{F} .*
- (ii) *Assume in addition that \mathcal{D} is equipped with the hyperbolic metric ρ and \mathcal{F} converges to $x_0 \in \mathcal{D}$ as $t \rightarrow \infty$ uniformly on sets strictly inside \mathcal{D} . If $\{\Gamma_t\}_{t \geq 0}$ is a T -continuous semicycle over \mathcal{F} , then both M and M^{-1} are bounded on each subset strictly inside \mathcal{D} .*

Proof. (i) By Theorem 2.1, the generator f of the semigroup \mathcal{F} is bounded on each subset strictly inside \mathcal{D} . Differentiating (3.2), we conclude that the semicycle $\{\Gamma_t\}_{t \geq 0}$ is generated by the mapping $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$ defined by

$$B(x) = M(x)^{-1} (B_0 M(x) - M'(x)[f(x)]) .$$

It follows from the Cauchy inequality (see [19, Proposition 2.3]) that if M is bounded on each subset strictly inside \mathcal{D} then the same holds for M' . Therefore the last formula implies that B also is bounded on each subset strictly inside \mathcal{D} . Hence by Theorem 2.3, $\{\Gamma_t\}_{t \geq 0}$ is T -continuous.

- (ii) Rewrite equality (3.2) in the form

$$M(x) = e^{-tB_0} M(F_t(x)) \Gamma_t(x).$$

Let $K = \max \{ \|M(x_0)\|_{\mathcal{A}}, \|M(x_0)^{-1}\|_{\mathcal{A}} \}$. Then there is a neighborhood U of x_0 such that

$$\sup_{x \in U} \{ \|M(x)\|_{\mathcal{A}}, \|M(x)^{-1}\|_{\mathcal{A}} \} \leq 2K.$$

Since \mathcal{F} converges to x_0 as $t \rightarrow \infty$ uniformly on sets strictly inside \mathcal{D} , for any domain \mathcal{D}^* strictly inside \mathcal{D} , there is $t_0 > 0$ such that $F_t(x) \in U$ whenever $x \in \mathcal{D}^*$ and $t \geq t_0$. Now by Theorem 2.4 there are constants C and L such that $\sup_{x \in \mathcal{D}^*} \|\Gamma_{t_0}(x)\|_{\mathcal{A}} \leq C e^{Lt_0}$. Hence

$$\|M(x)\|_{\mathcal{A}} \leq 2K \cdot C e^{Lt_0} \cdot \|e^{-t_0 B_0}\|_{\mathcal{A}} \quad \text{for all } x \in \mathcal{D}^*,$$

so M is bounded on each subset strictly inside \mathcal{D} .

By the proof of Lemma 2.1, the set $\{F_t(x) : x \in \mathcal{D}^*, t \in [0, t_0]\}$ lies strictly inside \mathcal{D} , so it is contained in a ρ -ball \mathcal{B} centered at x_0 . Increasing, if needed, the ρ -radius of \mathcal{B} , we get $U \subset \mathcal{B}$. For this ρ -ball \mathcal{B} there is $t_1 \geq t_0 > 0$ such that $F_t(x) \in U$ whenever $x \in \mathcal{B}$ and $t \geq t_1$.

Now, by Theorem 3.2 in [9], the inverse values $\Gamma_t(x)^{-1}$ exist. Moreover, since the semicocycle is T -continuous, one can find a natural number n such that $\|\Gamma_{t/n}(x) - 1_{\mathcal{A}}\|_{\mathcal{A}} < \frac{1}{2}$ for all $x \in \mathcal{B}$ and $0 \leq t \leq t_1$. Therefore

$$\|\Gamma_{t/n}(x)^{-1}\|_{\mathcal{A}} \leq \frac{1}{1 - \|\Gamma_{t/n}(x) - 1_{\mathcal{A}}\|_{\mathcal{A}}} < 2.$$

Since the hyperbolic ball \mathcal{B} is centered at the fixed point x_0 , it is \mathcal{F} -invariant. Applying the chain rule, we conclude that $\|\Gamma_{t_1}(x)^{-1}\|_{\mathcal{A}} \leq 2^n$. Therefore for every $x \in \mathcal{D}^* \subset \mathcal{B}$ we have

$$\|M(x)^{-1}\|_{\mathcal{A}} = \|\Gamma_{t_1}(x)^{-1} M(F_{t_1}(x))^{-1} e^{t_1 B_0}\|_{\mathcal{A}} \leq 2^n \cdot 2K \cdot \|e^{t_1 B_0}\|_{\mathcal{A}},$$

that is, M^{-1} is bounded on each subset strictly inside \mathcal{D} . \square

In addition, for a given semigroup \mathcal{F} on a domain in a Banach space X , semicocycles over \mathcal{F} can be used to construct new semigroups on spaces larger than X . This construction leads to a direct connection between linearization of semigroups and linearization of semicocycles. The following fact can be verified by a straightforward calculation (for its first part, see Proposition 3.1 in [9]).

Proposition 3.1. *Let X and Y be complex Banach spaces and $\mathcal{A} = L(Y)$. Assume that $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ is a semigroup on a domain $\mathcal{D} \subset X$ and $\Gamma_t : \mathbb{R}^+ \rightarrow \text{Hol}(\mathcal{D}, \mathcal{A})$. The family $\{\Gamma_t\}_{t \geq 0}$ is a semicocycle over \mathcal{F} if and only if the family $\tilde{\mathcal{F}} = \{\tilde{F}_t\}_{t \geq 0}$ defined by $\tilde{F}_t(x, y) = (F_t(x), \Gamma_t(x)y)$ forms a semigroup on the domain $\mathcal{D} \times Y$.*

If, in addition, the semigroup \mathcal{F} is linearizable by a biholomorphic mapping $h \in \text{Hol}(\mathcal{D}, X)$ in the sense of (2.3) and $\{\Gamma_t\}_{t \geq 0}$ is represented in the form (3.2), then the semigroup $\tilde{\mathcal{F}}$ is linearizable by the mapping \tilde{h} defined by $\tilde{h}(x, y) = (h(x), M(x)y)$.

The semigroup $\tilde{\mathcal{F}}$ was studied in [7] as an extension operator for semigroups of holomorphic mappings. We see that such operators necessarily involve semicocycles. Note also that the extended semigroup $\tilde{\mathcal{F}}$ is sometimes referred to as a linear skew-product flow; see, for example [3].

4 - Main results

We start this section with a simple sufficient condition for a semicocycle $\{\Gamma_t\}_{t \geq 0}$ to be linearizable.

Proposition 4.1. *Let a semigroup $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ have an attractive fixed point $x_0 \in \mathcal{D}$ and $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ be a semicocycle over \mathcal{F} . Denote $B_0 = \left. \frac{d}{dt} \Gamma_t(x_0) \right|_{t=0}$. If the limit*

$$(4.1) \quad \lim_{t \rightarrow \infty} e^{-tB_0} \Gamma_t(x) =: M(x)$$

exists locally uniformly, then the semicocycle $\{\Gamma_t\}_{t \geq 0}$ can be represented in the form (3.2).

This result is a very special case of Theorem 4.2 below.

Example 4.1. *Let $X = c_0$ be the space of all sequences converging to zero. Let Γ_t be defined by $\Gamma_t(x) = \exp \left[\sum_{k=1}^{\infty} (2x_k)^k (1 - e^{-tk}) \right]$. It was shown in [9, Example 3.1] that the family $\{\Gamma_t\}_{t \geq 0}$ is a semicocycle over the linear semigroup $\{e^{-t} \cdot\}_{t \geq 0}$. It is easy to see that $B_0 = \left. \frac{d}{dt} \Gamma_t(0) \right|_{t=0} = 0$ and $M(x) = \lim_{t \rightarrow \infty} \Gamma_t(x) = \exp \left[\sum_{k=1}^{\infty} (2x_k)^k \right]$, uniformly on subsets strictly inside the unit ball of c_0 . So, by Proposition 4.1, $\Gamma_t(x) = M(e^{-t}x)^{-1}M(x)$. This representation can also be verified directly.*

Next we present a condition on the generator B under which the existence of a linearization is ensured.

Proposition 4.2. *Let a semigroup $\mathcal{F} = \{F_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D})$ have an attractive fixed point $x_0 \in \mathcal{D}$. Let $\{\Gamma_t\}_{t \geq 0}$ be a semicocycle over \mathcal{F} generated by a mapping $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$ and $B_0 = B(x_0)$. If for every subset \mathcal{D}^* strictly inside \mathcal{D} there is a constant L such that the integral*

$$(4.2) \quad L(x) := \int_0^{\infty} \|\exp(-tB_0)B(F_t(x))\exp(tB_0) - B_0\|_{\mathcal{A}} dt$$

converges uniformly with respect to $x \in \mathcal{D}^$ and $L(x) \leq L$, then the limit (4.1) exists uniformly on each subset strictly inside \mathcal{D} . Hence $\{\Gamma_t\}_{t \geq 0}$ admits a representation (3.2).*

Proof. Let \mathcal{D}^* be a subset strictly inside \mathcal{D} . For any $x \in \mathcal{D}^*$, denote $v(t) = \exp(-tB_0)\Gamma_t(x)$. Then

$$v'(t) = (\exp(-tB_0)B(F_t(x))\exp(tB_0) - B_0)v(t),$$

so v is the unique solution of the evolution problem

$$\begin{cases} v'(t) = \tilde{B}(t)v(t) \\ v(0) = 1_{\mathcal{A}}, \end{cases}$$

where $\tilde{B}(t) = \exp(-tB_0)B(F_t(x))\exp(tB_0) - B_0$. Since the integral in (4.2) converges uniformly on \mathcal{D}^* , it follows from a result by Almkvist [1] that

$$(4.3) \quad \|v(t)\|_{\mathcal{A}} \leq \exp\left(\int_0^t \|\tilde{B}(s)\|_{\mathcal{A}} ds\right) < e^L$$

(independently of x); see also [9, Theorem 4.1]. In addition,

$$v(t) = 1_{\mathcal{A}} + \int_0^t \tilde{B}(s)v(s)ds.$$

(see, for example, [4, 16]). Using (4.3), we conclude that for any $\varepsilon > 0$ there exists $t_\varepsilon > 0$ such that

$$\|v(t_2) - v(t_1)\|_{\mathcal{A}} = \left\| \int_{t_1}^{t_2} \tilde{B}(s)v(s)ds \right\|_{\mathcal{A}} \leq e^L \int_{t_1}^{t_2} \|\tilde{B}(s)\|_{\mathcal{A}} ds < \varepsilon$$

for all $t_2 > t_1 > t_\varepsilon$. Consequently, the limit

$$\lim_{t \rightarrow \infty} v(t) = \lim_{t \rightarrow \infty} e^{-tB_0} \Gamma_t(x)$$

exists uniformly on \mathcal{D}^* by the Cauchy criterion of convergence. Hence $\{\Gamma_t\}_{t \geq 0}$ admits a representation (3.2) by Proposition 4.1. \square

While the conditions given by Propositions 4.1 and 4.2 are useful, they are sufficient but *not* necessary for the existence of a representation (3.2). This fact is shown by the following example.

Example 4.2. Let \mathcal{D} be the open unit disk in the complex plane \mathbb{C} and $\mathcal{A} = \mathbb{C}^{2 \times 2}$. Consider the linear semigroup $\mathcal{F} = \{F_t = e^{-t \cdot}\}_{t \geq 0}$ and denote

$$B_0 = \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}, \quad M(x) = \begin{pmatrix} 1 & x \\ x & 1 \end{pmatrix}.$$

Define

$$\begin{aligned} \Gamma_t(x) &:= M(F_t(x))^{-1} e^{tB_0} M(x) \\ &= \frac{1}{1 - e^{-2t}x^2} \begin{pmatrix} e^{3t} - x^2 & x(e^{3t} - 1) \\ x(e^t - e^{2t}) & e^t - x^2 e^{2t} \end{pmatrix}. \end{aligned}$$

By construction, Γ_t has the representation (3.2). However, the limit

$$\lim_{t \rightarrow \infty} e^{-tB_0} \Gamma_t(x) = \lim_{t \rightarrow \infty} \frac{1}{1 - e^{-2t}x^2} \begin{pmatrix} 1 - e^{-3t}x^2 & x(1 - e^{-3t}) \\ x(1 - e^t) & 1 - x^2e^t \end{pmatrix}$$

does not exist. Therefore, as it follows from the proof of Proposition 4.2, the integral (4.2) diverges.

The convergence of the improper integral in (4.2) depends on two independent objects: the semigroup \mathcal{F} and the semicycle generator B . Intuitively, if the rate of convergence of $B(F_t(x))$ to B_0 is sufficiently fast, the integral (4.2) will converge. Therefore, one can expect that some condition on the values $A = f'(x_0)$ and $B_0 = B(x_0)$ may ensure the convergence of that integral. To formulate such condition, we recall that for an element a of a Banach algebra, the upper and lower exponential (Lyapunov) indices of a (see, for example, [4] or [6]) by

$$(4.4) \quad \kappa_+(a) := \limsup_{t \rightarrow \infty} \frac{1}{t} \log \|e^{ta}\|$$

and

$$(4.5) \quad \kappa_-(a) := -\limsup_{t \rightarrow \infty} \frac{1}{t} \log \|e^{-ta}\|.$$

We now define the *characteristic ratio* by

$$(4.6) \quad \ell := \frac{\kappa_+(B_0) - \kappa_-(B_0)}{|\kappa_+(A)|}, \quad \text{where } A = f'(x_0).$$

In the sequel we consider the following condition on a semigroup:

- (*) The semigroup $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ converges to a point $x_0 \in \mathcal{D}$ uniformly on subsets strictly inside \mathcal{D} and is generated by a mapping f such that

$$(4.7) \quad \kappa_+(A) < 0, \quad \text{where } A = f'(x_0).$$

In fact, condition (*) provides a local estimate of the rate of convergence of $F_t(x)$ to x_0 as $t \rightarrow \infty$ (see, for example, [5]). Moreover, in the case where \mathcal{D} is the open unit ball in X , inequality (4.7) by itself implies the uniform convergence on every subset strictly inside \mathcal{D} ; see [10].

Theorem 4.1. *Let \mathcal{D} be a bounded domain equipped with the hyperbolic metric. Let a semigroup $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ satisfy condition (*) and $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ be a T -continuous semicycle over \mathcal{F} generated by $B \in \text{Hol}(\mathcal{D}, \mathcal{A})$ with $B_0 = B(x_0)$.*

If $\lim_{x \rightarrow x_0} \frac{\|B(x) - B_0\|_{\mathcal{A}}}{\|x - x_0\|_X^\ell} = 0$, where the characteristic ratio ℓ is defined in (4.6), then $\{\Gamma_t\}_{t \geq 0}$ can be represented in the form (3.2), where M is defined by limit (4.1).

P r o o f. It follows from the assumptions that for some neighborhood U of x_0 strictly inside \mathcal{D} there are $L > 0$ and $\delta \in (0, 1]$ such that

$$(4.8) \quad \|B(\tilde{x}) - B_0\|_{\mathcal{A}} \leq L \|\tilde{x} - x_0\|_X^{\ell+\delta} \quad \text{for all } \tilde{x} \in U.$$

For any $\epsilon > 0$, one can find a constant $C > 0$ and a neighborhood U^* of x_0 , $U^* \subset U$, such that

$$\|F_t(x) - x_0\|_X \leq C e^{t(\kappa_+(A)+\epsilon)} \quad \text{for all } x \in U^*, t \geq 0$$

(see, for example, [5]).

Let \mathcal{D}^* be a subset strictly inside \mathcal{D} . Due to condition (*), \mathcal{F} converges uniformly on \mathcal{D}^* . So there is a positive number t^* such that $F_t(x) \in U^*$ whenever $t \geq t^*$ and $x \in \mathcal{D}^*$. Therefore for all $t > 0$ and $x \in \mathcal{D}^*$ we have

$$\|F_{t+t^*}(x) - x_0\|_X \leq C e^{t(\kappa_+(A)+\epsilon)}.$$

Substituting $\tilde{x} = F_{t+t^*}(x)$ in (4.8), we conclude that for all $x \in \mathcal{D}^*$ the last inequality implies

$$(4.9) \quad \|B(F_{t+t^*}(x)) - B_0\|_{\mathcal{A}} \leq L C^{\ell+\delta} e^{t(\kappa_+(A)+\epsilon)(\ell+\delta)}.$$

We now use this to estimate the integral (4.2). By Lemma 2.1, the set $\Omega := \{F_t(x) : x \in \mathcal{D}^*, t \in [0, t^*]\}$ lies strictly inside \mathcal{D} . Then, by Theorem 2.3 the mapping B is bounded on Ω , and hence the integrals

$$\int_0^{t^*} \|\exp(-B_0 t) B(F_t(x)) \exp(B_0 t) - B_0\|_{\mathcal{A}} dt$$

are uniformly bounded on \mathcal{D}^* .

To proceed, recall (see, for example, [4] or [6]) that there are constants $L_1 = L_1(\epsilon)$ and $L_2 = L_2(\epsilon)$ such that

$$\|e^{tB_0}\|_{\mathcal{A}} \leq L_1 e^{t(\kappa_+(B_0)+\epsilon)} \quad \text{and} \quad \|e^{-tB_0}\|_{\mathcal{A}} \leq L_2 e^{t(\epsilon-\kappa_-(B_0))}.$$

Therefore

$$\begin{aligned} & \|\exp(-B_0 t) B(F_t(x)) \exp(B_0 t) - B_0\|_{\mathcal{A}} \\ &= \|\exp(-B_0 t) [B(F_t(x)) - B_0] \exp(B_0 t)\|_{\mathcal{A}} \\ &\leq \|\exp(-B_0 t)\|_{\mathcal{A}} \cdot \|B(F_t(x)) - B_0\|_{\mathcal{A}} \cdot \|\exp(B_0 t)\|_{\mathcal{A}} \\ &\leq L_1 L_2 \exp[t(\kappa_+(B_0) - \kappa_-(B_0) + 2\epsilon)] \cdot \|B(F_t(x)) - B_0\|_{\mathcal{A}}. \end{aligned}$$

Thus (4.9) implies that for all $t \geq t^*$

$$\|\exp(-B_0 t) B(F_t(x)) \exp(B_0 t) - B_0\|_{\mathcal{A}} \leq \tilde{C} e^{t(\delta\kappa_+(A)+(2+\ell+\delta)\epsilon)},$$

where $\tilde{C} = LL_1L_2C^{\ell+\delta}$.

Since $\delta\kappa_+(A) < 0$ and ϵ can be chosen arbitrary close to zero, we conclude that the integrals

$$\int_{t^*}^{\infty} \|\exp(-B_0t)B(F_t(x))\exp(B_0t) - B_0\|_{\mathcal{A}} dt$$

converge uniformly on \mathcal{D}^* and are uniformly bounded. Thus our conclusion follows by Proposition 4.2. \square

Corollary 4.1. *If under conditions of Theorem 4.1, $\ell < 1$, that is,*

$$\kappa_+(B_0) - \kappa_-(B_0) + \kappa_+(A) < 0,$$

then $\{\Gamma_t\}_{t \geq 0}$ can be represented in the form (3.2).

In particular, applying the case $B_0 = B(x_0) = 0$ we obtain immediately

Corollary 4.2. *Let \mathcal{D} be a bounded domain equipped with the hyperbolic metric. Let a semigroup $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ satisfy condition (*) and let a T -continuous semicocycle $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ over \mathcal{F} satisfy $\left. \frac{d\Gamma_t(x_0)}{dt} \right|_{t=0} = 0$. Then $\{\Gamma_t\}_{t \geq 0}$ is a coboundary, in particular, is linearizable.*

We now present the main result of this paper which gives a necessary and sufficient condition for a semicocycle to be linearizable. Proposition 4.1 above is a particular case of this assertion.

Theorem 4.2. *Assume that a semigroup $\mathcal{F} \subset \text{Hol}(\mathcal{D})$ converges to a point $x_0 \in \mathcal{D}$ and $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathcal{A})$ is a semicocycle over \mathcal{F} . Denote $B_0 = \left. \frac{d}{dt}\Gamma_t(x_0) \right|_{t=0}$. Then this semicocycle is linearizable if and only if there exists a holomorphic mapping $N : \mathcal{D} \rightarrow \mathcal{A}$ with $N(x_0) = 1_{\mathcal{A}}$ such that the limit*

$$(4.10) \quad \lim_{t \rightarrow \infty} e^{-tB_0} N(F_t(x)) \Gamma_t(x) =: M(x)$$

exists, uniformly on each subset strictly inside \mathcal{D} . In this case the mapping M defined by (4.10) linearizes $\{\Gamma_t\}_{t \geq 0}$.

Assuming, moreover, that \mathcal{F} satisfies condition (), we can choose the mapping N giving (4.10) to be a polynomial mapping of degree not exceeding ℓ defined by (4.6).*

Proof. Assume that a representation of the form (3.2) exists, and set $N(x) = M(x)$. Then

$$\begin{aligned} e^{-tB_0} N(F_t(x)) \Gamma_t(x) &= e^{-tB_0} M(F_t(x)) \Gamma_t(x) \\ &= e^{-tB_0} M(F_t(x)) M(F_t(x))^{-1} e^{tB_0} M(x) = M(x). \end{aligned}$$

Conversely, assume that the limit (4.10) exists, uniformly on each subset strictly inside \mathcal{D} , and defines the mapping M . Then, we have, by the uniform convergence, that M is holomorphic and satisfies

$$\begin{aligned} M(F_t(x)) \Gamma_t(x) &= \lim_{s \rightarrow \infty} e^{-sB_0} N(F_{s+t}(x)) \Gamma_s(F_t(x)) \Gamma_t(x) \\ &= \lim_{s \rightarrow \infty} e^{-sB_0} N(F_{s+t}(x)) \Gamma_{s+t}(x) \\ &= e^{tB_0} \lim_{s \rightarrow \infty} e^{-(s+t)B_0} N(F_{s+t}(x)) \Gamma_{s+t}(x) = e^{tB_0} M(x), \end{aligned}$$

so we have

$$(4.11) \quad M(F_t(x)) \Gamma_t(x) = e^{tB_0} M(x).$$

We now show that $M(x)$ is invertible for all $x \in \mathcal{D}$. Indeed,

$$M(x_0) = \lim_{s \rightarrow \infty} e^{-sB_0} N(x_0) \Gamma_s(x_0) = \lim_{s \rightarrow \infty} e^{-sB_0} e^{sB_0} = 1_{\mathcal{A}}.$$

Fixing x , we have

$$\lim_{t \rightarrow \infty} M(F_t(x)) = M(x_0) = 1_{\mathcal{A}},$$

which implies that there exists t_0 so that $M(F_t(x))$ is invertible for $t \geq t_0$. From (4.11) we have

$$M(x) = e^{-tB_0} M(F_t(x)) \Gamma_t(x),$$

and for $t \geq t_0$ the right-hand side is invertible, hence $M(x)$ is invertible.

Therefore (4.11) can be re-written as

$$\Gamma_t(x) = M(F_t(x))^{-1} e^{tB_0} M(x),$$

and we have proved the existence of the required representation.

Assume now that the semigroup \mathcal{F} satisfies condition (*). Since M is holomorphic, it is bounded on some neighborhood U of x_0 , strictly inside \mathcal{D} . In addition, it can be represented in a neighborhood of x_0 by a Taylor series, that is, a series of homogenous polynomials in $x - x_0$. We can write $M = P + M_1$, where P consists of all homogenous polynomials of $x - x_0$ of degree not greater than ℓ and M_1 contains all others. Note that the mapping $M_1 = M - P$ is bounded on U . Therefore, there are $0 < \delta \leq 1$ and $L_1 = L_1(U)$ such that $\|M_1(\tilde{x})\|_{\mathcal{A}} \leq L_1 \|\tilde{x} - x_0\|_X^{\ell+\delta}$ whenever $x \in U$.

For any $\epsilon > 0$, one can find a constant $C > 0$ and a neighborhood U^* of x_0 , $U^* \subset U$, such that

$$\|F_t(x) - x_0\|_X \leq Ce^{t(\kappa_+(A)+\epsilon)} \quad \text{for all } x \in U, t \geq 0$$

(see, for example, [5]). Furthermore, for every subset \mathcal{D}^* strictly inside \mathcal{D} there is t^* such that $F_t(x) \in U^* \subset U$ for all $t > t^*$ and $x \in \mathcal{D}^*$.

Therefore we have for all $x \in \mathcal{D}^*$ and $t > 0$,

$$\|M_1(F_{t+t^*}(x) - x_0)\|_{\mathcal{A}} \leq L_1\|F_{t+t^*}(x) - x_0\|_X^{\ell+\delta} \leq L_1C^{\ell+\delta}e^{t(\kappa_+(A)+\epsilon)(\ell+\delta)}.$$

By (4.5), there is a constant $L_2 = L_2(\epsilon)$ such that $\|e^{-tB_0}\|_{\mathcal{A}} \leq L_2e^{t(\epsilon-\kappa_-(B_0))}$. In addition, Theorem 2.4 asserts the existence of $L_3 = L_3(r, \epsilon)$ such that $\|\Gamma_t(x)\|_{\mathcal{A}} \leq L_3e^{t(\kappa_+(B_0)+\epsilon)}$ for all $x \in \mathcal{D}^*$.

Combining all of these estimates, we conclude that for $t > t^*$,

$$\begin{aligned} & \|e^{-tB_0}M_1(F_t(x) - x_0)\Gamma_t(x)\|_{\mathcal{A}} \\ & \leq L_2e^{t(\epsilon-\kappa_-(B_0))} \cdot L_1C^{\ell+\delta}e^{(t-t^*)(\kappa_+(A)+\epsilon)(\ell+\delta)} \cdot L_3e^{t(\kappa_+(B_0)+\epsilon)} \\ & = \tilde{C}e^{t((2+\ell+\delta)\epsilon+\delta\kappa_+(A))}. \end{aligned}$$

Since $\delta\kappa_+(A) < 0$, the last norm tends to zero as $t \rightarrow \infty$ for ϵ small enough. Thus

$$\begin{aligned} \lim_{t \rightarrow \infty} e^{-tB_0}P(F_t(x))\Gamma_t(x) &= \lim_{t \rightarrow \infty} e^{-tB_0}(M(F_t(x)) - M_1(F_t(x) - x_0))\Gamma_t(x) \\ &= \lim_{t \rightarrow \infty} e^{-tB_0}M(F_t(x))\Gamma_t(x) = M(x), \end{aligned}$$

so the desired result follows. \square

To illustrate the last assertion of this theorem, let us return to Example 4.2. We already know that the limit (4.10) does not exist for N being a polynomial of degree 0 but it exists for $N = M$, which is a polynomial of degree $\ell = 2 \geq 1$.

Furthermore, by this theorem if condition (*) holds, then N in (4.10) can be chosen to be a polynomial. It turns out that if condition (*) does not hold, it might happen that the linearizing mapping cannot be found by using uniform limits including polynomials.

Example 4.3. Let $X = c_0$ equipped with the sup-norm. Consider the semigroup $\mathcal{F} = \{F_t\}_{t \geq 0}$ on the open unit ball \mathcal{D} of X defined by

$$F_t(x_1, x_2, x_3, \dots) = (e^{-t}x_1, e^{-t/2}x_2, e^{-t/3}x_3, \dots).$$

Obviously, the convergence $F_t(x) \rightarrow 0$ is not uniform on any ball centered at zero. Denote $M(x) = \exp\left[\sum_{k=1}^{\infty} (2x_k)^k\right]$. The semicycle $\{\Gamma_t\}_{t \geq 0} \subset \text{Hol}(\mathcal{D}, \mathbb{C})$

defined by $\Gamma_t(x) = M(F_t(x))^{-1}M(x)$ is linearizable by construction. We wish to show that the mapping M cannot be obtained by limit (4.10) with a polynomial mapping N .

Suppose on contrary that $M(x) = \lim_{t \rightarrow \infty} N(F_t(x))M(F_t(x))^{-1}M(x)$ for some polynomial N and then

$$(4.12) \quad \lim_{t \rightarrow \infty} N(F_t(x))M(F_t(x))^{-1} = 1$$

uniformly on every ball of radius less than 1. Since each polynomial is bounded on the unit ball of X , there exists a constant $C > 0$ such that

$$|N(F_t(x)) - 1| \leq C\|F_t(x)\|_X \leq C\|x\|_X.$$

Take the points $x^{(n)}$ defined by

$$x^{(n)} := \left(\underbrace{\frac{1}{2}, \dots, \frac{1}{2}}_{n \text{ times}}, 0, 0, \dots \right).$$

For these points we have $\|x^{(n)}\|_X = \frac{1}{2}$, and hence $|N(F_t(x^{(n)})) - 1| \leq \frac{1}{2}C$. In addition, $M(F_t(x^{(n)}))^{-1} = \exp \left[-e^{-t} \sum_{k=1}^n \left(2x_k^{(n)} \right)^k \right] = e^{-ne^{-t}}$. Then

$$\left| N(F_t(x^{(n)})) - 1 \right| \cdot M(F_t(x^{(n)}))^{-1} \leq \frac{1}{2}Ce^{-ne^{-t}}.$$

It now follows by the triangle inequality that

$$\left| M(F_t(x^{(n)}))^{-1} - 1 \right| - \left| N(F_t(x^{(n)})) M(F_t(x^{(n)}))^{-1} - 1 \right| \leq \frac{1}{2}Ce^{-ne^{-t}}.$$

Thus for every $t > 0$, we have

$$\begin{aligned} \left| N(F_t(x^{(n)})) M(F_t(x^{(n)}))^{-1} - 1 \right| &\geq \left| e^{-ne^{-t}} - 1 \right| - \frac{1}{2}Ce^{-ne^{-t}} \\ &= 1 - e^{-ne^{-t}} \left(1 + \frac{1}{2}C \right), \end{aligned}$$

which is larger than $\frac{1}{2}$ for n sufficiently large. Consequently, the limit in (4.12) is not uniform on the ball of radius $\frac{1}{2}$. Thus M cannot be represented by the uniform limit (4.10) with a polynomial mapping N .

Note now that the difference $\kappa_+(B_0) - \kappa_-(B_0)$ that appears in Theorems 4.1–4.2 is the ‘horizontal width’ of the spectrum of $B_0 \in \mathcal{A}$ and is also equal to $\max\{\operatorname{Re}(\lambda - \lambda') : \lambda, \lambda' \in \sigma(B_0)\}$. Therefore it is natural to ask about a more refined condition for linearizability in terms of the spectrum itself. This was investigated in [8], in the case $X = \mathbb{C}$, where a sharp condition for linearizability was obtained in terms of the spectrum of B_0 . The power-series method employed in [8] can be extended to the more general framework considered here only under the restriction that the linear part of the semigroup generator at x_0 is a scalar operator.

Theorem 4.3. *Let a semigroup \mathcal{F} satisfy condition $(*)$ with $f'(x_0) = -\omega \operatorname{Id}_X$. Let $\{\Gamma_t\}_{t \geq 0}$ be a semicycle over \mathcal{F} generated by $B \in \operatorname{Hol}(\mathcal{D}, \mathcal{A})$. If*

$$k\omega \notin \sigma(B_0) - \sigma(B_0) := \{\lambda - \lambda' : \lambda, \lambda' \in \sigma(B_0)\} \quad \text{for all } k \in \mathbb{N},$$

then the semicycle $\{\Gamma_t\}_{t \geq 0}$ is linearizable, that is, it can be represented in the form

$$\Gamma_t(z) = M(F_t(z))^{-1} e^{tB_0} M(z),$$

and the mapping $M \in \operatorname{Hol}(\mathcal{D}, \mathcal{A}_)$ is unique.*

The proof of this theorem is very similar to the proof of Theorem 3.3 in [8] and uses the linearization model for semigroups investigated in [10].

When the operator $f'(x_0)$ is not scalar, obtaining sharp generic conditions for linearizability of semicycles remains an open question.

References

- [1] G. ALMKVIST, *Stability of linear differential equations in Banach algebras*, Math. Scand. **14** (1964), 39–44.
- [2] F. BRACCI, M. ELIN and D. SHOIKHET, *Normal forms and linearization of holomorphic dilation type semigroups in several variables*, J. Nonlinear Convex Anal. **12**, (2011), 143–154.
- [3] C. CHICONE and Y. LATUSHKIN, *Evolution semigroups in dynamical systems and differential equations*, Math. Surveys Monogr., **70**, Amer. Math. Soc., Providence, RI, 1999.
- [4] JU. L. DALECKIĬ and M. G. KREĬN, *Stability of solutions of differential equations in Banach spaces*, Amer. Math. Soc., Providence, RI, 1974.
- [5] W. DESCH and W. SCHAPPACHER, *Linearized stability for nonlinear semigroups*, in “Differential equations in Banach spaces”, Lecture Notes in Math., **1223**, Springer, Berlin, 1986, 61–73.

- [6] N. DUNFORD and J. T. SCHWARTZ, *Linear Operators*, Vol. I, Interscience Publ., New York, 1958.
- [7] M. ELIN, *Extension operators via semigroups*, J. Math. Anal. Appl. **377** (2011), 239–250.
- [8] M. ELIN, F. JACOBZON and G. KATRIEL, *Noncommutative holomorphic semicocycles*, Michigan Math. J. (2019), doi: 10.1307/mmj/1557302432.
- [9] M. ELIN, F. JACOBZON and G. KATRIEL, *Continuous and holomorphic semicocycles in Banach spaces*, J. Evol. Equ. (2019), doi: 10.1007/s00028-019-00509-5.
- [10] M. ELIN, S. REICH and D. SHOIKHET, *Complex dynamical systems and the geometry of domains in Banach spaces*, Dissertationes Math. (Rozprawy Mat.) **427** (2004), 62 pp.
- [11] M. ELIN, S. REICH and D. SHOIKHET, *Numerical range of holomorphic mappings and applications*, Birkhäuser/Springer, Cham, 2019.
- [12] M. ELIN and D. SHOIKHET, *Linearization models for complex dynamical systems. Topics in univalent functions, functional equations and semigroup theory*, Birkhäuser Verlag, Basel, 2010.
- [13] L. A. HARRIS, *The numerical range of holomorphic functions in Banach spaces*, Amer. J. Math. **93** (1971), 1005–1019.
- [14] A. KATOK and B. HASSELBLATT, *Introduction to the modern theory of dynamical systems*, Encyclopedia Math. Appl., **54**, Cambridge Univ. Press, 1995.
- [15] W. KÖNIG, *Semicocycles and weighted composition semigroups on H^p* , Michigan Math. J. **37** (1990), 469–476.
- [16] J. L. MASSERA and J. J. SCHÄFFER, *Linear differential equations and function spaces*, Pure and Applied Mathematics, **21**, Academic Press, New York–London, 1966.
- [17] S. REICH and D. SHOIKHET, *Semigroups and generators on convex domains with the hyperbolic metric*, Atti Accad. Naz. Lincei Cl. Sci. Fis. Mat. Natur. Rend. Lincei (9) Mat. Appl. **8** (1997), 231–250.
- [18] S. REICH and D. SHOIKHET, *Metric domains, holomorphic mappings and nonlinear semigroups*, Abstr. Appl. Anal. **3** (1998), 203–228.
- [19] S. REICH and D. SHOIKHET, *Nonlinear semigroups, fixed points, and the geometry of domains in Banach spaces*, World Scientific Publisher, Imperial College Press, London, 2005.

MARK ELIN
 Department of Mathematics
 Ort Braude College
 Karmiel 21982, Israel
 e-mail: mark_elin@braude.ac.il

FIANA JACOBZON
Department of Mathematics
Ort Braude College
Karmiel 21982, Israel
e-mail: fiana@braude.ac.il

GUY KATRIEL
Department of Mathematics
Ort Braude College
Karmiel 21982, Israel
e-mail: katriel@braude.ac.il