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## The basic analogue of a class of polynomials. (\*\*)

A set of polynomials  $\{P_n(x)\}$  is called an Appell set, if

(1) 
$$P'_n(x) = P_{n-1}(x) \qquad (n = 0, 1, 2, ...).$$

SHEFFER [1], and later STEFFENSEN [2], have generalised the APPELL set and have shown how all the properties of an APPELL set hold good for their sets. STEFFENSEN calls his set of polynomials, « poweroids ». These generalisations are obtained by replacing the differential operator D in (1) by the operator J, such that

(2) 
$$J\{P_n(x)\} = P_{n-1}(x) \qquad (n = 0, 1, 2, ...),$$

where (in the notation of Steffensen)

$$J = k_1D + k_2D^2 + ...$$
  $(k_1 \neq 0)$ 

the expansion being convergent if the symbol of differentiation D is replaced by a sufficiently small number.

NIELSEN [3] considered a remarkable subset of the APPELL set (1) by considering a set of polynomials which satisfy the two functional equations, for n = 0, 1, 2, ...,

(3) 
$$P'_n(x) = P_{n-1}(x), \qquad P_n(-x-1) = (-1)^n P_n(x),$$

and has shown their importance in the theory of Bernoulli and Euler

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<sup>(1)</sup> NIELSEN [3] calls the set which satisfies (1) to be harmonic.

numbers. Later Morgan Ward [4] has generalised the set by considering a set of polynomials  $\{Y_n(x)\}$ , for which

(4) 
$$Y'_n(x) = Y_{n-1}(x), \qquad Y_n(ax+b) = \tau_n Y_n(x),$$

for n = 0, 1, 2, ..., and a, b are any complex numbers.

We propose the following problem:

Does there exist a subset of the class of polynomials which satisfy (2), such that it satisfies a given functional equation as in (4)?

In its general form the question does not seem to present much interest. But, recently Carlitz [5] has considered polynomials (2) in  $q^x$  to obtain what he calls q-Bernoulli and Euler numbers and q-Bernoulli and Euler polynomials. He considers the difference operator  $\Delta$  in relation to these polynomials, such that, if

$$f(x) = \sum_{i=0}^{m} a_i q^{ix},$$

then

$$\Delta f(x) = f(x+1) - f(x) = q^x \sum_{i=1}^{m} (q^i - 1) a_i q^{(i-1)x}.$$

Now, it is easy to see that the operator  $D_q$ , defined by

$$D_q f(x) = \frac{f(qx) - f(x)}{(q-1)x},$$

where q is any real number  $\neq 0$ , plays the same role for polynomials in x as the difference operator does for polynomials in  $q^x$ .

The object of this paper is to obtain some properties of the class of polynomials  $\{H_n(x)\}$  in x, such that

(5) 
$$D_{q}\{H_{n}(x)\} = H_{n-1}(x) \qquad (n = 0, 1, 2, ...);$$

and to examine some subsets of this class of polynomials which have properties analogous to regular and cyclic sets of Nielsen [3] and Ward [4].

By the way, we may also observe that it seems possible to arrive at the results of Carlitz (5) on q-Bernoulli and Euler numbers if we consider a suitable subset of the class of polynomials satisfying (2) with D replaced by  $D_q$  in the operator J of Steffensen. This we have, however, not been able to achieve.

<sup>(2)</sup> Polinomials in  $q^x$  have been treated earlier by TCHEBICHEF and also later by STIELTJES and WIGERT (see SZEGÖ [6], p. 32) in different contexts.

1. - q-harmonic sequences. If  $H_n(x) = \sum_{i=0}^n a_i x^{n-1}$ , we put

$$\begin{split} H_n[ax+b] &= a_0(ax+b)_n + a_1(ax+b)_{n-1} + \dots + a_n \,, \\ (ax+b)_n &= (ax+b)(ax+bq) \dots (ax+bq^{n-1}) \,, \\ H_n[x] &= q^{n(n-1)/2} a_0 \, x^n + q^{(n-1)(n-2)/2} a_1 x^{n-1} + \dots + a_n \,. \end{split}$$

We shall use the following notations:

It is easy to observe that  $H_n[b+ax]$  is not the same as  $H_n[ax+b]$ .

In analogy with Nielsen [3] we shall call the polynomials  $\{H_n(x)\}$  which satisfy (5) to be «q-harmonic» (3). The following properties of q-harmonic sequences are easy to prove:

(i) If  $\{H_n(x)\}$  is a q-harmonic sequence then there exists a sequence of constants  $\{h_n\}$  such that

$$H_n(x) = h_0 \frac{x^n}{[n]!} + h_1 \frac{x^{n-1}}{[n-1]!} + \dots + h_n, \qquad H_n(0) = h_n.$$

We shall sometimes indicate the two by  $[H_n(x), h_n]$ .

(ii) If  $\{H_n(x)\}\$  is a q-harmonic sequence, then

$$D_{q}\{H_{n}[x]\} = H_{n-1}[qx] \qquad (n = 0, 1, 2, ...).$$

(iii) If  $\{H_n(x)\}$  is a q-harmonic sequence, then

$$\sum_{n=0}^{\infty} H_n(x)t^n = e_q(xt) \cdot h(t) ,$$

where

$$e_q(xt) = \sum_{n=0}^{\infty} \frac{x^n t^n}{[n]!}$$
 and  $h(t) = \sum_{n=0}^{\infty} h_n t^n$ .

<sup>(3)</sup> The term « q-harmonic » used here has nothing to do with that used by Hum-BERT in a different context [Sur les fonctions dans l'hyperspace, C. R. Acad. Sci. Paris 162, p. 1264 (1926)].

(iv) If  $\{H_n(x)\}$  is a q-harmonic sequence, then we have the two expansions:

$$\begin{split} H_{n}[x+b] &= x^{n} \frac{H_{0}[b]}{[n]!} + x^{n-1} \frac{H_{1}[b]}{[n-1]!} + \ldots + x \frac{H_{n-1}[b]}{[1]!} + H_{n}[b], \\ H_{n}[b+x] &= x^{n} \frac{q^{\frac{n(n-1)}{2}} \frac{H_{0}(b)}{[n]!} + x^{n-1} \frac{q^{\frac{(n-1)(n-2)}{2}} \frac{H_{1}[b]}{[n-1]!} + \ldots + H_{n}[b]. \end{split}$$

If  $[H_n(x), h_n]$  and  $[K_n(x), k_n]$  are two q-harmonic sequences, then:

(v) there exists a unique sequence  $\{\alpha_n\}$ , such that, for all n,

$$K_n(x) = \alpha_0 H_n(x) + \alpha_1 H_{n-1}(x) + ... + \alpha_n H_0(x);$$

(vi) the expression  $A_n(x) = \sum_{s=0}^n (-1)^s H_{n-s}(x) K_s[x]$  is a constant, while the polynomials  $G_n(x) = (1/2^n) \sum_{s=0}^n H_{n-s}(x) K_s[x]$  form a new q-harmonic sequence.

To prove (vi) we observe that (4) on using property (ii), we have

$$\begin{split} \mathcal{D}_{q}\{A_{n}(x)\} &= \sum_{s=0}^{n} (-1)^{s} \Big\{ H_{n-s}(x) K_{s-1}[qx] + H_{n-s-1}(x) K_{s}[qx] \Big\} = \\ &= \sum_{s=0}^{n-1} (-1)^{s+1} H_{n-s-1}(x) K_{s}[qx] + \sum_{s=0}^{n-1} (-1)^{s} H_{n-s-1}(x) K_{s}[qx] = 0 \; . \end{split}$$

Hence  $A_n(qx) = A_n(x)$  for all values of x and since  $A_n(x)$  is a polynomial we conclude that  $A_n(x)$  is independent of x. Also

$$A_n(x) \equiv A_n = \sum_{s=0}^n (-1)^s h_{n-s} k_s$$
.

Similarly (n = 0, 1, 2, ...)

$$D_{\sigma}\{G_n(x)\} = G_{n-1}(x) .$$

(vii) Let  $[H_n(x), h_n]$  and  $[K_n(x), k_n]$  be two q-harmonic sets related by the equation

$$H_n[x] - H_n[-1 + x] = K_{n-1}(x)$$

then if only one of the q-harmonic sets is given, the second is completely determined.

(4) For q-differentiation, we easily have

$$D_q\{\varphi(x)\psi(x)\} = \varphi(x)D_q\{\psi(x)\} + \psi(qx)D_q\{\varphi(x)\}.$$

Writing -1 for x and x for b in the first relation in (iv), we have

$$K_{n-1}(x) = \sum_{s=0}^{n-1} (-1)^{n-s-1} = \frac{H_s[x]}{\lfloor n-s \rfloor!} = \sum_{s=0}^{n-1} \frac{(-1)^{n-s+1}}{\lfloor n-s \rfloor!} \sum_{j=0}^{s} \frac{h_{s-j}}{\lfloor j \rfloor!} q^{\frac{j(j-1)}{2}} \, x^j \,,$$

which gives identically

$$k_{n-p} = q^{\frac{(p-1)(p-2)}{2}} \sum_{s=0}^{n-p} \frac{(-1)^s}{[s+1]!} h_{n-p-s}.$$

2. -q(I)-and q(II)-regular sequences (when a is not a root of unity).

If the set of polynomials  $\{H_n(x)\}$  satisfies the two functional relations (n = 0, 1, 2, ...)

(6) 
$$D_{q}\{H_{n}(x)\} = H_{n-1}(x), \qquad H_{n}[ax+b] = \tau_{n}H_{n}(x),$$

then we shall call them  $\langle q(I)$ -regular  $\rangle$  sequences.

If in (6), a = 0 or 1, the set  $\{H_n(x)\}$  is trivial. Leaving aside such trivial sequences, we have for q(1)-regular sequences

$$\tau_n = a^n$$
, and  $H_n[b] = a^n H_n(0)$ .

Now suppose  $\{H_n(x)\}$  to be a q-harmonic sequence for which

$$H_n[b] = a^n H_n(0)$$
  $(n = 0, 1, 2, ...).$ 

Then

$$H_n[ax+b] = \sum_{s=0}^n \frac{a^s x^s H_{n-s}[b]}{[s]!} = \sum_{s=0}^n \frac{a^s x^s a^{n-s} H_{n-s}(0)}{[s]!} = a^n \sum_{s=0}^n \frac{x^s h_{n-s}}{[s]!} = a^n H_n(x).$$

We have thus proved that:

The necessary and sufficient condition for a q-harmonic sequence  $\{H_n(x)\}$  to be q(I)-regular is (n = 0, 1, 2, ...)

$$(7) H_n[b] = a^n H_n(0).$$

If we expand the left side of (7), we obtain

(7') 
$$\begin{cases} h_0 & = h_0 \\ \frac{bh_0}{[1]!} + h_1 & = ah_1 \\ \frac{qb^2h_3}{[2]!} + \frac{bh_1}{[1]!} + h_2 & = a^2h_2 \\ \frac{q^3b^3h_0}{[3]!} + \frac{qb^2h_1}{[2]!} + \frac{bh_2}{[1]!} + h_3 & = a^3h_3 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \frac{q^{n(n-1)/2}b^nh_0}{[n]!} + \frac{q^{(n-1)(n-2)}b^{n-1}h_1}{[n-1]!} + \vdots & \vdots & \vdots & \vdots \\ h_0 & = h_0 \\ & = ah_1 \\ & = a^2h_2 \\ & = a^2h_2 \end{cases}$$

By solving the above equations, we have (n = 0, 1, 2, ...)

(8) 
$$h_n = \frac{h_0 b^n \Delta_n(a, q)}{(a - 1)(a^2 - 1) \dots (a^n - 1)},$$

where (if a is not a root of unity)

We find from (8) that (if a is not a root of unity)

$$\begin{split} h_1 &= \frac{bh_0}{a-1} \,, \quad h_2 = \frac{b^2(1+aq)h_0}{[2] \,! \, (a-1)(a^2-1)} \,, \\ h_3 &= \frac{b^3\{(1+aq)(1+aq+a^2q^2)-aq(1-a)(1-q)\}h_0}{[3] \,! \, (a-1)(a^2-1)(a^3-1)} \,. \end{split}$$

We can also define another set of polynomials  $\{H_n(x)\}$  satisfying the two functional relations (n = 0, 1, 2, ...)

(9) 
$$D_{q}\{H_{n}(x)\} = H_{n-1}(x), \qquad H_{n}[b + ax] = \tau_{n}H_{n}[x],$$

and call them q(II)-regular » sequences.

It is easy to prove that the necessary and sufficient condition for a q-harmonic sequence  $\{H_n(x)\}$  to be (q(II)-regular) is that (n = 0, 1, 2, ...).

(10) 
$$H_n(b) = a^n H_n(0) .$$

We also similarly have (if a is not a root of unity)

(11) 
$$h_n = \frac{h_0 b^n \Delta_n(a, q)}{(a-1)(a^2-1) \dots (a^n-1)},$$

where

For both q(I)-regular and q(II)-regular sequences we see that  $h_1, h_2, ...$  are finite only if a is not a root of unity. If, however,  $a^r = 1$   $[r \equiv 0 \pmod p]$ , then it is easy to see from (7') that an infinite sequence of polynomials  $\{H_n(x)\}$  does not exist which satisfies (6) or (9).

## 3. -q(T)-regular sequences and the case when a is a root of unity.

In order to be able to discuss the case when a is a root of unity, we replace the second condition in (6) and (9) by conditions (12) and introduce a special matrix in the following way:

Consider a triangular matrix T of non zero numbers  $\{e_{i,n}\}_0^n$  given by [where  $e_{n,0}=1\ (n=0,1,2,3,...)$ ]

and let us use the following notations:

$$\begin{split} H_n^T(x) &= \frac{h_0 c_{n,0}}{[n]!} \, x^n + \frac{h_1 c_{n-1,1} \, x^{n-1}}{[n-1]!} + \ldots + h_n c_{0,n} \,, \\ H_n^T(ax + b) &= \frac{h_0}{[n]!} \, (ax + b)_{T_n} + \frac{h_1}{[n-1]!} \, (ax + b)_{T_{n-1}} + \ldots + h_n (ax + b)_{T_*} \,, \end{split}$$

where

$$(ax+b)_{T_n}=a^nx^ne_{n,0}+a^{n-1}x^{n-1}be_{n-1,1}+\ldots+e_{0,n}b^n.$$

Also, if T' is the matrix

we put

$$H_n^{\frac{T_k'}{n}}(b) = \frac{h_0 b^k c_{n-k,k}}{[n]_k} + \frac{h_1 b^{k-1} c_{n-k,k-1}}{[n-1]_{k-1}} + \dots + \frac{h_{k-1} b c_{n-k,1}}{[n-k+1]} + h_k c_{n-k,0}$$

and, in particular,

$$egin{align} H_n^{r_n'}(b) &= rac{h_0 b^n c_{0,n}}{[n]\,!} + rac{h_1 \, b^{n-1} c_{0,n-1}}{[n-1]\,!} + ... \, + \, h_n c_{0,0} \,, \ &&& H_n^{r_0'}(b) &= H_n^{r_0'}(b) = h_0 \, c_{n,0} \,. \end{split}$$

From these, it is easy to see that

$$H_n^T(ax+b) = \frac{a^n x^n}{[n]!} H_n^{T'}(b) + \frac{a^{n-1} x^{n-1}}{[n-1]!} H_n^{T'}(b) + \dots + H_n^{T'}(b).$$

Now, if we consider the class of polynomials which satisfy

(12) 
$$D_{\sigma}\{H_{n}(x)\} = H_{n-1}(x) \quad \text{and} \quad H_{n}^{T}(\alpha x + b) = \tau_{n}H_{n}(x),$$

we at once have (since  $c_{n,0} = 1$ )

(13) 
$$\tau_n = a^n \quad \text{and} \quad H_n^{T_i'}(b) = a^i H_i(0) \quad (i = 0, 1, ..., n).$$

In this case the equations got from relation (13) easily give  $\{h_n\}$  (when a is

not a root of unity) in the form

(14) 
$$h_n = \frac{h_0 b^n \Delta_n(a, T)}{(a-1)(a^2-1) \dots (a^n-1)},$$

where

$$\Delta_n(a, T) = \begin{bmatrix} \frac{c_{n-1,1}}{[n]} & (1-a) & 0 & \dots & 0 \\ \frac{c_{n-2,2}}{[n]_2} & \frac{c_{n-2,1}}{[n-1]} & (1-a^2) & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \ddots & \vdots \\ \frac{c_{0,n}}{[n]!} & \frac{c_{0,n-1}}{[n-1]!} & \dots & \dots & \ddots & \vdots \\ \end{bmatrix}.$$

When  $a^r = 1$   $[r \equiv 0 \pmod{p}]$ , for p < n, we see that the necessary and sufficient condition in order that the equations (13) be consistent is that the following determinant of order p vanishes identically for  $\exp(2\pi i k/p)$  (k = 1, 2, ..., p - 1):

$$\frac{c_{n-1,1}}{[n]} \quad (1-a) \quad 0 \quad 0 \quad \dots \quad 0 \\
\frac{c_{n-2,2}}{[n]_2} \quad \frac{c_{n-2,1}}{[n-1]} \quad (1-a^2) \quad 0 \quad \dots \quad 0 \\
\vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots \\
\frac{c_{n-p,p}}{[n]_p} \quad \frac{c_{n-p,p-1}}{[n-1]_{p-1}} \quad \dots \quad \vdots \quad \frac{c_{n-p,1}}{[n-p+1]}$$

If all determinants of order p, 2p, 3p, ... vanish identically when  $a^r = 1$   $\lceil r \equiv 0 \pmod{p} \rceil$ , then there exists solutions giving  $\{h_n\}$  for all n.

We call a set of polynomials  $\{H_n(x)\}$  to be (q(T)-regular) if it satisfies the functional relations (n = 0, 1, 2, ...)

(15) 
$$D_{q}\{H_{n}(x)\} = H_{n-1}(x), \qquad H_{n}^{T}(ax+b) = \tau_{n}H_{n}(x),$$

and the elements of the matrix T satisfy the conditions

(16) 
$$\frac{c_{n-1,1}}{[n]} = c_{0,1}, \qquad \frac{c_{n-2,2}}{[n]_2} = \frac{c_{0,2}}{[2]!}, \dots, \qquad \frac{c_{1,n-1}}{[n]} = c_{0,n-1}.$$

In this case the matrix T is given by

Then the conditions (13) can be replaced by

(17) 
$$H_n^{r'_n}(b) = a^n H_n(0) \qquad (n = 0, 1, 2, ...),$$

which is a necessary and sufficient condition for a sequence to be q(T)-regular.

The above remarks can be summed up in the following way:

If a is not a root of unity then there is a unique q(T)-regular sequence. If a is a primitive  $p^{\text{th}}$  root of unity  $(\neq 1)$  then there are infinitely many q(T)-regular sequences, only if the matrix T is such that the determinants (14') of order p, 2p, 3p, ... vanish when  $a^r = 1$   $[r \equiv 0 \pmod{p}]$ .

The regular sequences for which a is a root of unity are of much greater interest. We define a q(T)-cyclic sequence of order p to mean any solution of (15) satisfying the conditions (16) for which a is a primitive  $p^{\text{th}}$  root of unity, i.e. when  $a^r = 1$   $[r \equiv 0 \pmod{p}]$ .

We will now give two examples:

Example 1. Consider the matrix

In this case

$$H_n^T(ax+b) = H_n[ax+b]$$

and the q-harmonic sequence of polynomials  $\{H_n(x)\}$  satisfies the relation

$$H_n[ax + b] = a^n H_n(x) ,$$

if and only if

$$H_n[b] = a^n H_n(0)$$
  $(n = 0, 1, 2, ...).$ 

We have thus arrived again at q(I)-regular sequences treated earlier (§ 2).

Example 2. Consider the matrix

In this case, we get (5)

$$h_r = \frac{h_0}{r!} \left( \frac{b}{a-1} \right)^r$$
  $(r = 0, 1, 2, ...),$ 

for all values of  $a\ (\neq 1)$ , and  $\left(\text{if }\lambda = \frac{b}{a-1}\right)$ 

(18) 
$$H_n(x) = h_0 \left( \frac{x^n}{[n]!} + \frac{x^{n-1}\lambda}{[n-1]!} + \frac{x^{n-2}\lambda^2}{[n-2]! \ 2!} + \dots + \frac{\lambda^n}{n!} \right).$$

In this case, this is the simplest q(T)-regular sequence.

<sup>(5)</sup> It is interesting to observe that this is also the value for  $h_r$  obtained by Ward [4]. The polynomials  $H_n(x)$  in Ward's case reduce to  $(h_0/n!)(x+\lambda)^n$ . On taking q=1, we get the result of Ward, as a particular case of our result.

We can prove the following result for q(T)-cyclic sequences:

If  $[K_n(x), k_n]$  is a q(T) cyclic sequence of order p, then  $K_n(x)$  is uniquely represented by

$$K_n(x) = \alpha_0 H_n(x) + \alpha_p H_{n-p}(x) + ... + \alpha_{rp} H_{n-rp}(x)$$

where  $\{H_n(x)\}$  is given by (18) and  $\alpha_0, \alpha_n, ..., \alpha_{rp}$  are constants  $[rp \leqslant n < (r+1)p]$ . In order to prove this we observe that since  $\{H_n(x)\}$  and  $\{K_n(x)\}$  are q-harmonic sequences, there exists [by (v)] a unique set  $\{\alpha_n\}$  such that

$$K_n(x) = \sum_{r=0}^n \alpha_r H_{n-r}(x) .$$

Then

$$K_n^T(ax+b) = \sum_{r=0}^n \alpha_r H_{n-r}^T(x) = \sum_{r=0}^n \alpha_r a^{n-r} H_{n-r}(x)$$
.

Also

$$K_n^T(ax + b) = a^n K_n(x) = a^n \sum_{r=0}^n \alpha_r H_{n-r}(x)$$
.

Since,  $H_{n-r}(x) = D_q^r \{H_n(x)\}$ , we have identically

$$\sum_{r=0}^{n} \alpha_r \, a^{n-r} \mathbf{D}_q^r \equiv a^n \sum_{r=0}^{n} \alpha_r \, \mathbf{D}_q^r \,,$$

so that

$$\alpha_r(1-a^r)=0.$$

We then have  $\alpha_r = 0$ , for values of r for which  $a^r \neq 1$ . Conversely, every sequence  $\{K_n(x)\}$  of the form

$$K_n(x) = \alpha_0 H_n(x) + \alpha_p H_{n-p}(x) + ... + \alpha_{rp} H_{n-rp}(x)$$
,

determines a q(T) cyclic sequence of order p.

Putting x = 0 in this, we get  $\lceil rp \leqslant n < (r+1)p \rceil$ 

$$a^{-n}K_n^T(b) = K_n(0) \equiv k_n = \frac{\alpha_0 \lambda^n}{n!} + \alpha_n \frac{\lambda^{n-p}}{(n-p)!} + \dots + \alpha_{rn} \frac{\lambda^{n-rp}}{(n-rp)!}.$$

These equations show that  $\alpha_0$ ,  $\alpha_p$ ,  $\alpha_{2p}$ , ... are determined uniquely, when we know  $k_0$ ,  $k_p$ ,  $k_{2p}$ , .... Also, this relation gives values of  $k_n$  for all n, so that knowing  $k_0$ ,  $k_p$ , ... all the  $k_n$  are uniquely determined. We notice that the set  $\{k_n\}$  is the same as given by Morgan Ward [4].

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